

P20978.P01

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

J1036 09366 PTO

Attorney Docket No.	P20978	Total Pages	
Inventor(s) or Application Identifier Gunhee JANG and Kyungsu KIM			PTO
Title:ULTRA-SLIM STRUCTURE OF DISK-SPINDLE MOTOR			J1036 09366 PTO

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

06/29/01

APPLICATION ELEMENTS	ACCOMPANYING APPLICATION PARTS
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>a. <input type="checkbox"/> Small Entity Statement(s)</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages <u>10</u>] (preferred arrangement set forth below) - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure</p> <p>4. Drawing(s) (35 USC 113) [Total Sheets <u>3</u>]</p> <p>5. Oath or Declaration [Total Pages <u>3</u>] <input checked="" type="checkbox"/> Newly executed (original or copy) <input type="checkbox"/> Unexecuted <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 20 completed) <u>[Note Box 6 below]</u> i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>6. Incorporation By Reference (useable if Box 5b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p> <p>7. Application Data Sheet. See 37 CFR 1.76</p> <p>8. CD-Rom or CD-R in duplicate, large table or Computer Program (<i>Appendix</i>)</p>	<p>9. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies</p> <p>10. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>11. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)</p> <p>12. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>13. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>14. <input type="checkbox"/> Preliminary Amendment</p> <p>15. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>16. <input checked="" type="checkbox"/> Figure of Drawing to be published <u>2A</u></p> <p>17. <input type="checkbox"/> Foreign priority claimed a. <input type="checkbox"/> Claim of Priority b. <input type="checkbox"/> Certified Copy of Priority Document(s)</p> <p>18. <input checked="" type="checkbox"/> Assignee: <u>Gunhee JANG of Seoul, REPUBLIC OF KOREA</u></p> <p>19. <input checked="" type="checkbox"/> Other: <u>Cover Letter Regarding Executed Application</u> <hr/> <hr/> <hr/> <hr/></p>

If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation Divisional Continuation-in-part (CIP) of prior Application No. /, filed .
Prior application information: Examiner: _____ Group Art Unit: _____

21. Amend the specification by inserting before the first line the sentence:
 This application is a continuation-in-part, continuation, divisional, of Application No. /, filed .

Address all future correspondence to Customer No. 7055 at the present address of:

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1941 Roland Clarke Place
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6/29/01
Date

Rosie M. Werner Reg. No. 33,329
Signature
Bruce H. Bernstein, Reg. No. 29,027
Typed or Printed Name

Complete if Known

FEE TRANSMITTAL

Application Number	Not Yet Assigned
Filing Date	Concurrently Herewith
First Named Inventor	G. JANG et al.
Group Art Unit	Unassigned
Examiner Name	Unassigned

TOTAL AMOUNT OF PAYMENT (\$395.00)

Attorney Docket Number P20978

METHOD OF PAYMENT (check one)

FEE CALCULATION (continued)

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 19-0089

Deposit Account Name GREENBLUM & BERNSTEIN, P.L.C.

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17, including any required extension of time fees in any concurrent or future

reply requiring a petition for extension of time for its timely submission (37 CFR 1.136(a)(3))

Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b)

Applicant Claims Small Entity Status
See 37 CFR 1.27.

2. Payment Enclosed:

Check Credit Card Money Order Other

FEE CALCULATION (fees effective 12/29/99)

1. G FEE

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
101 0	201	355	Utility filing fee	355
102 0	206	160	Design filing fee	
103 0	207	245	Plant filing fee	
104 0	208	355	Reissue filing fee	
111 0	214	75	Provisional filing fee	

SUBTOTAL (1) (\$ 355)

2. MS

To Inc	Dependent Claims	Extra 2 -20=	Fee from below	Fee Paid
		2 -3=	0 x 80 =	0

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee Description
103 18	203	9	Claims in excess of 20
102 80	202	40	Independent claims in excess of 3
104 270	204	135	Multiple dependent claim
109 80	209	40	Reissue independent claims over original patent
110 18	210	9	Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0.00)

3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	Surcharge - late filing fee or oath	
127	50	227	Surcharge - late provisional filing fee or cover sheet	
139	130	139	Non-English specification	
147	2,520	147	For filing a request for reexamination	
112	920*	112	Requesting publication of SIR	
113	1,840*	113	Prior to Examiner action	
			Requesting publication of SIR after Examiner action	
115	110	215	Extension for response within 1st month	
116	390	216	Extension for response within 2nd month	
117	890	217	Extension for response within 3rd month	
118	1,390	218	Extension for response within 4th month	
128	1,890	228	Extension for response within 5th month	
119	310	219	Notice of Appeal	
120	310	220	Filing a brief in support of an appeal	
121	270	221	Request for oral hearing	
138	1,510	138	Petition to institute a public use proceeding	
140	110	240	Petition to revive unavoidably abandoned application	
141	1,240	241	Petition to revive unintentionally abandoned application	
142	1,240	242	Utility issue fee (or reissue)	
143	440	243	Design issue fee	
144	600	244	Plant issue fee	
122	130	122	Petitions to the Commissioner	
123	50	123	Petitions related to provisional applications	
126	240	126	Submission of IDS	
581	40	581	Recording each patent assignment per property (times number of properties)	40
146	710	246	Filing a submission after final rejection (37 CFR 1.129(a))	
149	710	249	For each additional invention to be examined (37 CFR 1.129(b))	
179	710	279	Request for Continued Examination (RCE)	
169	900	169	Request for expedited examination of a design application	
			Other fee (specify)	
			Other fee (specify)	
			SUBTOTAL (3) (\$ 40)	

*Reduced by Basic Filing Fee paid

SUBMITTED BY

Complete (if applicable)

Typed or Printed Name

Bruce H. Bernstein

Reg. Number

29,027

Signature

Leslie Peppas Reg. No. 6/29/01
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Deposit Account User ID

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Gunhee JANG et al.

Applications Branch

Appln. No. : Not yet assigned

Filed : Concurrently herewith

For : ULTRA-SLIM STRUCTURE OF DISK-SPINLE MOTOR

COVER LETTER REGARDING EXECUTED APPLICATION

Commissioner of Patents and Trademarks

Washington, D.C. 20231

Sir:

The undersigned points out that the executed application being filed herewith includes pages 1 - 3 of the executed declaration which were forwarded to the undersigned by Applicants' Korean representative on June 27, 2001, and pages 1 - 10 of the specification (with Figures 1 - 4) which were earlier forwarded to the undersigned by Applicants' Korean representative on June 19, 2001. Further, the undersigned has been advised by the Korean representative that the inventors had reviewed the application before executing the declaration.

Accordingly, Applicants respectfully submit that the present application is properly executed and should be considered as filed in executed form. However, if the U.S. Patent and Trademark Office determines that the present application is not properly executed,

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Applicants respectfully request that the present application be treated as an unexecuted application under 37 C.F.R. 1.53(f).

Should there be any questions regarding this paper, please contact the undersigned at

- the below listed number.

Respectfully submitted,
Gunhee Jang et al.


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June 29, 2001
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